

Please type, plus sign (+) inside this box → ☒

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PTO/SB/21 (08-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/921,290	
	Filing Date	August 3, 2001	
	First Named Inventor	Goldenberg et al.	
	Group Art Unit	1642	
	Examiner Name	Alana M. HARRIS	
Total Number of Pages in This Submission		Attorney Docket Number	40923-0079 US2 (18733-1012)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): POST CARD PTO SB 08 AND REFERENCES
Remarks		
Customer No. 26633		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul M. Booth Reg. No. 40,244
Signature	<i>Marvin Motra</i> 36,614
Date	November 30, 2004

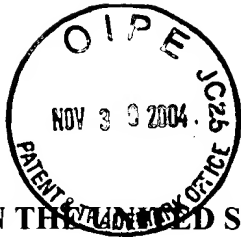
CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 			
Typed or printed name			
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 <h2 style="margin: 0;">TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2005</h3> <p style="margin: 0; font-size: small;">Effective 10/01/2004. Patent fees are subject to annual revision.</p>		Complete if Known																																																																																																																																																																																											
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td>09/921,290</td> </tr> <tr> <td>Filing Date</td> <td>August 3, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Goldenberg et al.</td> </tr> <tr> <td>Examiner Name</td> <td>Alana M. HARRIS</td> </tr> <tr> <td>Art Unit</td> <td>1642</td> </tr> <tr> <td>Attorney Docket No.</td> <td>40923-0079 US 2 (18733-1012)</td> </tr> </table>		Application Number	09/921,290	Filing Date	August 3, 2001	First Named Inventor	Goldenberg et al.	Examiner Name	Alana M. HARRIS	Art Unit	1642	Attorney Docket No.	40923-0079 US 2 (18733-1012)																																																																																																																																																																														
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SUBMITTED BY

Name (Print/Type)	Paul M. Booth	Registration No. (Attorney/Agent)	40,244	Complete (if applicable)	Telephone	202-912-2000
Signature	<i>Paul M. Booth</i>	Date	11/30/04	Customer No. 26633		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 40923-0079 US2 (18733-1012)

Applicant: David M. GOLDENBERG *et al.*

Confirmation No.:

Appl. No.: 09/921,290

Group Art Unit: 1642

Filing Date: August 3, 2001

Examiner: Alana M. HARRIS

Title: IMMUNOTHERAPY OF MALIGNANT AND AUTOIMMUNE DISORDERS
IN DOMESTIC ANIMALS USING NAKED ANTIBODIES,
IMMUNOCONJUGATES AND FUSION PROTEINS

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

12/01/2004 LWONDIM 00000051 09921250

01 FC:1805

Sir:

180.00 JP

To comply with Applicants' duty of disclosure pursuant to 37 C.F.R. §1.56 and §1.97, Applicants submit herewith on attached Form PTO/SB/08A, a listing of documents known to Applicants. A copy of each of the listed documents is being submitted to comply with the provisions of 37 C.F.R. §§1.97-1.99.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or is considered to be material to patentability as defined in 37 C.F.R. §1.56(b). Applicants do not waive any rights to take any action that would be appropriate to antedate or otherwise remove as a competent reference any document that is determined to be a *prima facie* prior art reference against the claims of the present application.


This Information Disclosure Statement is being filed after the mailing date of the first Office Action. Therefore, Applicants enclose a check in the amount of \$180.00 required under

37 CFR § 1.17(p). The Commissioner is hereby authorized to charge any deficiency or to credit any overpayment to Deposit Account No. 08-1641.

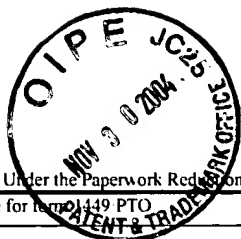
Applicants respectfully request that the listed documents be considered by the Examiner and made of record in the present application and that an initialed copy of Form PTO/SB/08A be returned in accordance with M.P.E.P. §609.

Respectfully submitted,

Date: November 29, 2004

By: 
Paul M. Booth
Attorney for Applicant
Registration No. 40,244

HELLER EHRMAN WHITE &
MCAULIFFE LLP
1666 K Street, N.W., Suite 300
Washington, DC 20006-1228
Telephone: (202) 912-2000
Facsimile: (202) 912-2020
Customer No. 26633



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				Application Number	09/921,290
				Filing Date	August 3, 2001
				First Named Inventor	GOLDENBERG <i>et al.</i>
				Art Unit	1642
				Examiner Name	Alana M. HARRIS
Sheet	1	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

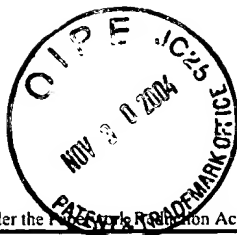
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	US-4,036,945	07-19-1977	Haber <i>et al.</i>	
	2.	US-4,331,647	05-25-1982	Goldenberg	
	3.	US-4,624,846	11-25-1986	Goldenberg	
	4.	US-4,824,659	04-25-1989	Hawthorne	
	5.	US-4,946,778	08-07-1990	Ladner <i>et al.</i>	
	6.	US-5,057,313	10-15-1991	Shih <i>et al.</i>	
	7.	US-5,120,525	06-09-1992	Goldenberg	
	8.	US-5,169,775	12-08-1992	Steplewski <i>et al.</i>	
	9.	US-5,443,953	08-22-1995	Hansen <i>et al.</i>	
	10.	US-5,484,892	01-16-1996	Tedder <i>et al.</i>	
	11.	US-5,686,072	11-11-1997	Uhr <i>et al.</i>	
	12.	US-5,789,544	08-04-1998	Miyamura <i>et al.</i>	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	1.	EP 510 949 A2	10-28-1992	Pouletty		
	2.	WO 91/11465	08-08-1991	Goldenberg <i>et al.</i>		
	3.	WO 95/09917	04-13-1995	Morrison <i>et al.</i>		
	4.	WO 96/04925	02-22-1996	Leung <i>et al.</i>		
	5.	WO 98/42378	10-01-1998	Goldenberg		
	6.	WO 99/02567	01-21-1999	Ghetie <i>et al.</i>		

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				Examiner Name	Alana M. HARRIS
Sheet	2	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

NON-PATENT LITERATURE DOCUMENTS			
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	1.	Aasted <i>et al.</i> , <i>Vet. Immunol. Immunopathol.</i> , 19:31 (1988).	
	2.	Adang <i>et al.</i> , <i>Plant Molec. Biol.</i> , 21:1131 (1993).	
	3.	Appelbaum <i>et al.</i> , <i>Hematol. Oncol.</i> , 2:151 (1984).	
	4.	Ausubel <i>et al.</i> , <i>Current Protocols in Molecular Biology</i> , pp. 8.2.8-8.2.13, John Wiley & Sons, New York (1990).	
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	6.	Baines <i>et al.</i> , <i>Methods in Molecular Biology</i> , Vol. 10, pp. 79-104 (The Humana Press, 1992).	
	7.	Baldwin <i>et al.</i> , "Immunotoxins in Cancer Treatment", <i>Biological Approaches to Cancer Treatment: Biomodulation</i> , pp. 252-276, Mitchell (Ed.), McGraw-Hill (1993).	
	8.	Bambot <i>et al.</i> , <i>PCR Methods and Applications</i> , 2:266 (1993).	
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				Art Unit	1642
				Examiner Name	Alana M. HARRIS
Sheet	3	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	25.	Courtenay-Luck, "Genetic Manipulation of Monoclonal Antibodies", <i>Monoclonal Antibodies: Production, Engineering, and Clinical Application</i> , Cambridge University Press, pp. 166-179, Ritter <i>et al.</i> (eds.) (1995).	
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				Examiner Name	Alana M. HARRIS
Sheet	4	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

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	49.	Green <i>et al.</i> , <i>Nature Genet.</i> , 7:13 (1994).	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/921,290
				Filing Date	August 3, 2001
				First Named Inventor	GOLDENBERG <i>et al.</i>
				Art Unit	1642
				Examiner Name	Alana M. HARRIS
Sheet	6	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
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	102.	Oseroff <i>et al.</i> , <i>Photochem. Photobiol.</i> , 46:83 (1987).	
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				Filing Date	August 3, 2001
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				Art Unit	1642
				Examiner Name	Alana M. HARRIS
Sheet	7	of	8	Attorney Docket Number	40923-0079 US2 (18733-1012)

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	125.	Shih <i>et al.</i> , <i>Int. J. Cancer</i> , 46:1101 (1990).	
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	128.	Stein <i>et al.</i> , <i>Cancer Immunol. Immunother.</i> , 37(5):293 (1993).	
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	149.	Wilson <i>et al.</i> , <i>J. Exp. Med.</i> , 173:137 (1991).	
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